

# When Your Broker Calls, Take Notes!

Date:	Time:	Phone Call:	Meeting Location:
Name of Broker:		Name of security:	
Company:			
Location:		Recommended that I: <b>BUY</b> <b>SELL</b>	
How does this recommendation meet my investment objectives?			
What are the reasons why I should invest in this (or sell this?)			
What are the specific risks if I buy this investment?			
I asked to receive written information about this investment before making a decision:		<b>YES</b>	<b>NO</b>
I asked for:			
	A prospectus		Any other report (8K)
	Most recent annual report (10K)		Any other available written material
	Most recent quarterly report (10Q)		Other:
I decided to:			
	Do nothing	How much?	
	Buy	What price?	
	Sell	Total Cost:	
Other Notes:			
If you suspect wrong doing contact:    Office of Investor Education & Advocacy U.S. Securities & Exchange Commission <a href="http://www.sec.gov/complaint/callform.htm">http://www.sec.gov/complaint/callform.htm</a> Investor Complaint Form: <a href="https://tts.sec.gov/oiea/Complaint.html">https://tts.sec.gov/oiea/Complaint.html</a>			